

NIH's Public Access Policy: Information and Tools to Ensure Compliance

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Overview

- Information on the NIH Public Access Policy
- Ensuring Compliance
- National Center for Biotechnology Information bibliographic portal (My NCBI)
 - My Bibliography and PubMed
 - Searches
 - Delegation

NIH Public Access Policy

- In effect since April 7, 2008
- For non-competing continuation grant awards with a **start date of July 1, 2013 or beyond**:
 - 1) NIH will delay processing of an award if publications arising from it are not in compliance with the NIH public access policy.
 - 2) Investigators will need to use My NCBI to enter papers onto progress reports. Papers can be associated electronically using the RPPR, or included in the PHS 2590 using the My NCBI generated PDF report.
- <http://publicaccess.nih.gov/>
 - Overview of the policy
 - Link to MyNCBI
 - Additional Details on how to comply
 - Video Trainings

Pub Med, PubMed Central, & NIH Manuscript System

- **PubMed** is a free database accessing primarily the MEDLINE database of references and abstracts on life sciences and biomedical topics. The United States National Library of Medicine (NLM) at the National Institutes of Health maintains the database .
- **PubMed Central (PMC)** is NIH's digital journal archive, which gives the public access to papers at no cost.
- **NIH Manuscript Submission system (NIHMS)** takes in final peer-reviewed manuscripts covered by the NIH Public Access Policy and formats them for inclusion in PMC.

How to Comply with the Policy

- **Determine Applicability**

Does the NIH Public Access Policy apply to your paper?

- **Address Copyright**

Ensure your publishing agreement allows the paper to be posted to PubMed Central in accordance with the NIH Public Access Policy.

- **Submit paper to PMC**

Submit final manuscript of a peer-reviewed paper to PubMed Central (PMC) and approve public release.

- **Include PMCID in Citations**

Include the PMCID at the end of the full citation in your application or report.

Determine Applicability

Applies to any manuscript that:

- Is peer-reviewed;
- Is accepted for publication in a journal on or after April 7, 2008; **AND**
- Arises from:
 - Any direct funding from an NIH grant/cooperative agreement active in FY2008 or beyond; or
 - Any direct funding from an NIH contract signed on or after April 7, 2008; or
 - Any direct funding from the NIH Intramural Program; or
 - An NIH employee
- Until further notice, papers written in scripts other than Latin (e.g., Russian, Japanese) cannot be processed by the NIHMS. These papers are not required to be posted on PubMed Central.
- Authors may submit final peer-reviewed manuscripts accepted before April 7, 2008 that arise from NIH funds, if they have appropriate copyright permission.

Address Copyright

- **Before you sign a publication agreement or similar copyright transfer agreement**, make sure that the agreement allows the paper to be posted to PubMed Central (PMC) in accordance with the NIH Public Access Policy. **Final, peer-reviewed manuscripts must be posted to the NIHMS *upon acceptance for publication*, and be made publicly available on PMC no later than 12 months after the official date of publication.**
- Authors should avoid signing any agreements with publishers that do not allow the author to comply with the NIH Public Access Policy.
- Contact **KU Center for Technology Commercialization** with questions: <http://ctc.ku.edu>

Copyright Considerations

- What version of the paper will be made available on PMC?
 - The NIH Public Access Policy is based on a law that requires investigators to submit "their final, peer-reviewed manuscripts" to PubMed Central. NIH will accept the final published article in lieu of the final peer-reviewed manuscript, provided that the author has the right to submit this version.
 - **Final peer-reviewed manuscript:** The Investigator's final manuscript of a peer-reviewed paper accepted for journal publication, including all modifications from the peer review process.
 - **Final published article:** The journal's authoritative copy of the paper, including all modifications from the publishing peer review process, copyediting and stylistic edits, and formatting changes.
- Which submission method will be used?
- Who will submit the paper?
- When will it be submitted?
- Who will approve the submission?
- When will the paper be made public on PMC?

Submission Methods

| | Method A | Method B | Method C | Method D |
|---|--|--|---|---|
| | Journal deposits final published articles in PubMed Central without author involvement | Author asks publisher to deposit specific final published article in PMC | Author deposits final peer-reviewed manuscript in PMC via the NIHMS | Author completes submission of final peer-reviewed manuscript deposited by publisher in the NIHMS |
| Version of Paper Submitted | Final Published Article | Final Published Article | Final Peer-Reviewed Manuscript | Final Peer-Reviewed Manuscript |
| Task 1: Who starts the deposit process? | Publisher | Publisher | Author or designee, via NIHMS | Publisher |
| Task 2: Who approves paper for processing? | Publisher | Publisher | Author, via NIHMS | Author, via NIHMS |
| Task 3: Who approves paper for Pub Med Central display? | Publisher | Publisher | Author, via NIHMS | Author, via NIHMS |
| Participating journal/publisher | Method A Journals | Make arrangements with these publishers | Check publishing agreement | Make arrangements with these publishers |
| Who is Responsible? | NIH Awardee | NIH Awardee | NIH Awardee | NIH Awardee |
| To cite papers, from acceptance for publication to 3 months post publication | PMCID or "PMC Journal- In Process" | PMCID or "PMC Journal- In Process" | PMCID or NIHMSID | PMCID or NIHMSID |
| To cite papers, 3 months post publication and beyond | PMCID | PMCID | PMCID | PMCID |

Submission Methods Continued

- Submission Method A journals
http://publicaccess.nih.gov/submit_process_journals.htm
- Submission Method B and D publishers
http://publicaccess.nih.gov/select_deposit_publishers.htm

Include PMCID in Citations

When submitting an application, proposal, or report to the NIH the PMCID must be included when citing applicable papers **that they author or that arise from their NIH-funded research.**

Example: Three authors collaborate on a paper that falls under the public access policy. Author A has no NIH support, Author B worked on the paper using salary support from his mentor's NIH award, and Author C used her NIH award to support the research reported in the paper. **If any of the four scientists (the authors and Author B's mentor) cite the paper when *they* apply for an NIH award, they must include the PMCID in the citation.** However, when another scientist (anyone other than the three authors or Author B's mentor) cites this paper in their NIH research application, they are not required to include the PMCID. In addition, when Author B's mentor and Author C next report on the progress of their respective awards to NIH, they must cite the paper and include the PMCID.

NIH's Preferred Citation Methods

- Include the PubMed Central reference number (PMCID) at the end of citations.
 - For papers published **more than 3 months before an application, proposal and report is submitted**:
 - ❖ List the PubMed Central reference number (PMCID) at the end of the full journal citation for the paper in NIH applications, proposals and reports. **A PMCID is the only way to demonstrate compliance for these papers.**
 - For papers **in press, or published within 3 months of when an application, proposal or report is submitted**:
 - ❖ When using Submission Method A or B, indicate “PMC Journal - In Process” or the PMCID at the end of the full citation.
 - ❖ When using Submission Method C or D, provide a valid NIH Manuscript Submission System reference number (NIHMSID) or PMCID at the end of the full citation. Note, NIH awardees are responsible for ensuring that all steps of the NIHMS submission process are complete **within three months of publication**.
- The appropriate locations for literature citations vary depending on the application type—see <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-08-119.html> for detailed charts.

KU's Role

- Public Access Compliance Monitor
- The Public Access Compliance Monitor is a web-based tool that institutions can use to track compliance of publications that fall under the NIH Public Access Policy.
- You will be receiving an email if you have publications identified as “non-compliant.”

My NCIB

<http://www.ncbi.nlm.nih.gov/myncbi/>

Sign in to NCBI

Username:

Password:

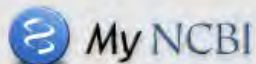
[Forgot username or password?](#)

[Register for a NCBI account](#)

Or use a 3rd party sign in option

[See more 3rd party sign in options](#)

Keep me signed in unless I sign out
(Leave unchecked on public computers)



Search NCBI databases

Search: PubMed

Search

Hint: clicking the "Search" button without any terms listed in the search box will transport you to that database's homepage.

My Bibliography

Your bibliography contains **no items**.

Use the "Send to > My Bibliography" menu in PubMed to add citations,
OR

[Click here](#) to manually create citations.

[Manage My Bibliography >](#)

Recent Activity

| Time | Database | Type | Term |
|-------------|----------|--------|---|
| 06-Feb-2013 | PubMed | record | Peptide-mediated targeted drug delivery |

[Clear](#) [Turn Off](#)

[See All Recent Activity >](#)

Saved Searches

You don't have any saved searches yet.

Go and [create some saved searches](#) in PubMed or our other databases.

[Manage Saved Searches >](#)

Collections

| Collection Name | Items | Settings/Sharing | Type |
|---------------------------------|------------------------|-------------------------|----------|
| Favorites | edit 0 | Private | Standard |
| My Bibliography | edit 0 | Private | Standard |
| Other Citations | edit 0 | Private | Standard |

[Manage Collections >](#)

Filters

Filters for: PubMed

You do not have any active filters for this database.

[Add filters for the selected database.](#)

[Manage Filters >](#)

Using My Bibliography

NCBI Resources How To amreed@ku.edu My NCBI Sign Out

PubMed.gov
US National Library of Medicine
National Institutes of Health

PubMed

[RSS](#) [Save search](#) [Advanced](#) [Help](#)

[Show additional filters](#) **Display Settings:** Summary, 20 per page, Sorted by Recently Added **Send to:** **Filters:** [Manage Filters](#)

Results: 1 to 20 of 72 << First < Prev Page 1 of 4 Next > Last >>

[Heterogeneity of Colorectal Cancer \(CRC\) in reference to KRAS proto-oncogene utilizing WAVE technology](#)
Perez K, Walsh R, Brilliant K, Noble L, Yakerivich E, Breese V, Jackson C, Chatterjee D, Pricolo V, Roth L, Shah N, Cataldo T, Safran H, Hixson D, Quesenberry P.
Exp Mol Pathol. 2013 Mar 22. doi:pii: S0014-4800(13)00014-2. 10.1016/j.yexmp.2013.01.004. [Epub ahead of print]
PMID: 23528430 [PubMed - as supplied by publisher]
[Related citations](#)

[Lack of Immune Response to Differentiated Cells Derived from Syngeneic Induced Pluripotent Stem Cells](#)
Guha P, Morgan JW, Mostoslavsky G, Rodrigues NP, Boyd AS.
Cell Stem Cell. 2013 Jan 22. doi:pii: S1934-5909(13)00009-X. 10.1016/j.stem.2013.01.006. [Epub ahead of print]
PMID: 23352605 [PubMed - as supplied by publisher]
[Related citations](#)

[Erythropoietin-directed erythropoiesis depends on serpin inhibition of erythroblast lysosomal cathepsins](#)
Dev A, Byrne SM, Verma R, Ashton-Rickardt PG, Wojchowski DM.
J Exp Med. 2013 Feb 11;210(2):225-32. doi: 10.1084/jem.20121762. Epub 2013 Jan 14.
PMID: 23319700 [PubMed - in process]
[Related citations](#)

Titles with your search terms
NIH grants \$117 million in COBRE awards. [J Nucl Med. 2006]
[Abnormal drinking of workers in a mine of the Gran Minería del Cobre]. [Rev Med Chil. 1983]
[See more...](#)

31 free full-text articles in PubMed Central
The Experience of Miners Relocated to Alternative Positions due [Saf Health Work. 2012]
High-throughput fractionation of human plasma for fast enrichment of low- [Blood Transfus. 2012]
Scavenger receptor CD36 expression contributes to adipose tissue inflammation [PLoS One. 2012]
[See all \(31\)...](#)

Find related data
Database:

[Clear all](#) [Show additional filters](#)

Navigating My Bibliography

The screenshot shows the My NCBI My Bibliography interface. At the top, there is a navigation bar with the My NCBI logo, the text "My NCBI — My Bibliography", and an eRA logo. To the right of the navigation bar are links for "See all collections" and "My Bibliography help". Below the navigation bar is a yellow banner with the text: "This bibliography is private ([make it public](#)) | [Edit settings](#) for My Bibliography | Save My Bibliography to a [text file \(MEDLINE format\)](#)". Below the banner is a blue toolbar with the following elements: "Display Settings: [checked]" (labeled B), "Award view, Sort by public access compliance, group by citation type", "Select: [All](#), [None](#) 0 items selected", "Move" (labeled C), "Delete" (labeled D), "Copy" (labeled A), "View" (labeled A), "Suggest" (labeled E), "Assign Awards to Citations" (labeled G), "PDF report", and "Add citation".

A: To view multiple citations at once click the “View” button

B: To view your citations without hyperlinks, go to “Display Settings” and select Print

C: To move a citation from My Bibliography to “Other Citations” (a separate bibliography located in Collections)

D: To copy citations to a new collection or add them to an existing collection

E: To suggest individual citations to others. Multiple recipients can be added to receive citations using the “Suggest” feature

F: To download citations from My Bibliography, click the “text file” link

G: To associate multiple citations with awards at once, click the “Assign Awards to Citation” button

Adding PubMed Citations

PubMed [RSS](#) [Save search](#) [Advanced](#)

[Display Settings:](#) Summary, 20 per page, Sorted by Recently Added [Send to:](#) [Filters:](#) [Manage Filter](#)

Results: 1 to 20 of 72 << First < Prev

[Heterogeneity of Colorectal Cancer \(CRC\) in reference to KRAS proto-technology.](#)
1. [Heterogeneity of Colorectal Cancer \(CRC\) in reference to KRAS proto-technology.](#)
Perez K, Walsh R, Brilliant K, Noble L, Yakerivich E, Breese V, Jackson Roth L, Shah N, Cataldo T, Safran H, Hixson D, Quesenberry P. *Exp Mol Pathol.* 2013 Mar 22. doi:pii: S0014-4800(13)00014-2. 10.1016/j.yexmp.2013.03.001. PMID: 23528430 [PubMed - as supplied by publisher] [Related citations](#)

[Lack of Immune Response to Differentiated Cells Derived from Syngeneic Induced Pluripotent Stem Cells.](#)
2. [Lack of Immune Response to Differentiated Cells Derived from Syngeneic Induced Pluripotent Stem Cells.](#)
Guha P, Morgan JW, Mostoslavsky G, Rodrigues NP, Boyd AS. *Cell Stem Cell.* 2013 Jan 22. doi:pii: S1934-5909(13)00009-X. 10.1016/j.stem.2013.01.006. [Epub ahead of print] PMID: 23352605 [PubMed - as supplied by publisher] [Related citations](#)

Choose Destination

File Clipboard
 Collections E-mail
 Order My Bibliography
 Citation manager

Add 1 items.

[Add to My Bibliography](#)

Manual Citation Entry

The screenshot shows the 'My NCBI — My Bibliography' interface. At the top, there are navigation links: 'This bibliography is private (make it public)', 'Edit settings for My Bibliography', and 'Save My Bibliography to a text file (MEDLINE format)'. Below this is a 'Display Settings' section with a dropdown menu set to 'List view, Sort by date, group by citation type'. A toolbar contains buttons for 'Move', 'Delete', 'Copy', 'View', 'Suggest', and 'Add citation'. A red arrow points from the 'Add citation' button to the 'Add Citation Manually' dialog box.

The 'Add Citation Manually' dialog box has a title bar with a close button (X). The main content area is titled 'Choose the type of citation to create:' and features a dropdown menu set to 'Manual citation (for articles that do not appear in PubMed)'. A 'Clear All Fields' link is located in the top right of the form area.

The form fields are as follows:


- Title:** A required text input field with an asterisk (*).
- Author:** Three input fields for 'First Name', 'MI', and 'Last Name'. The 'Last Name' field is required, indicated by an asterisk (*). A link 'Add Another Author' is positioned below these fields.
- Journal:** A required text input field with an asterisk (*).
- Publication Date:** Radio buttons for 'Month: [dropdown] Day: [input] Year: [input]' (required, indicated by an asterisk) and 'In Press'.
- Volume:** An input field.
- Issue:** An input field.
- Page:** An input field.
- DOI:** An input field with a link 'Optional What is DOI?' below it.

A legend at the bottom left indicates '* Required field'. At the bottom right, there are 'Add Citation' and 'Cancel' buttons.

Checking Compliance

My Bibliography

The screenshot shows the 'My NCBI — My Bibliography' interface. At the top right, there is an 'eRA' icon with a double-headed arrow, circled in red. Below the header, the 'Display Settings' section is visible, showing 'List view, Sort by date, group by citation type'. The settings are organized into three columns: View, Sort by, and Grouping. The 'Award' option under View is circled in red. The 'Apply' button is located at the bottom right of the settings panel.


My NCBI — My Bibliography 


Display Settings: List view, Sort by date, group by citation type


| View | Sort by | Grouping |
|--|---|---|
| <input type="radio"/> List | <input type="radio"/> Date | <input type="radio"/> None |
| <input type="radio"/> Print | <input type="radio"/> Author | <input checked="" type="radio"/> By citation type |
| <input checked="" type="radio"/> Award | <input type="radio"/> Title | <input type="radio"/> Award |
| | <input checked="" type="radio"/> Public Access Compliance | |
| | <input checked="" type="checkbox"/> Reverse | |


Apply


Compliance Icons

 Public Access Compliance: Non-compliant. No PMCID 3 months post publication. NIHMS ID: [NIHMS70841](#)
[NIH Funding](#): No funding has been associated with this citation.

 Public Access Compliance: PMC Journal – In Process
[NIH Funding](#): No funding has been associated with this citation.

 Public Access Compliance: Complete. PMCID: [PMC2632597](#)
[NIH Funding](#): No funding has been associated with this citation.

 Public Access Compliance: Not applicable
[NIH Funding](#): No funding has been associated with this citation.

 Public Access Compliance: [Edit Status](#)
[NIH Funding](#): No funding has been associated with this citation.

Compliance Wizard

Did the NIH support this citation, in whole or in part?

- Yes
 No

The NIH Public Access Policy requires scientists to submit final, peer-reviewed journal manuscripts that arise from NIH funds to the digital archive PubMed Central upon acceptance for publication. (See [Determine Applicability](#) for full details.) Please submit the final manuscript sent to your publisher or indicate that this publication is exempt from the policy.

We do not have a record of this citation in NIH Manuscript Submission system (NIHMS). Please choose from the following:

- Begin submission in the NIHMS.
- This citation has been submitted. NIHMS ID:
- Arrangements have been made for a [publisher on this list](#) to send the final article directly to PubMed Central. ([Method B](#))
- This citation does not need to be submitted under NIH Public Access because:
- Publication was not peer reviewed.
 - Publication was accepted for publication before April 7, 2008.
 - Publication was written in a script other than Latin (e.g., Russian, Japanese).
 - Publication was not [directly supported by](#) NIH.

Save & Close



Cancel

Associating Funding with your Publications

Assign Awards

Use the checkboxes to assign awards to the selected citations:

Awards Search/Add other awards

My awards:

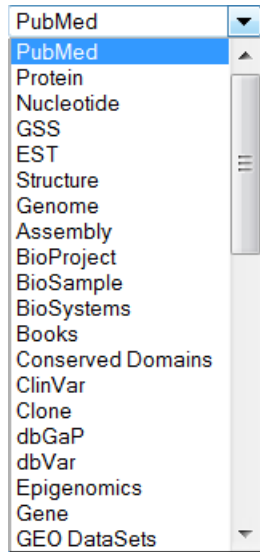
- P41 RR008119 - MULTI PHOTON EXCITATION OF MLCT COMPOUNDS
- R01 EB000682 - Metal-Enhanced Fluorescence Sensing
- R01 EB006521 - Plasmon-Controlled Fluorescence and Cardiac Markers
- R01 HG002655 - Metallic Surfaces and Particles in DNA Analysis
- R13 RR017508 - CFS Course on Fluorescence Spectroscopy
- R21 EB000981 - Biohazard Detection Using Metal-Enhanced Fluorescence
- R21 HG005090 - DNA Sequencing Using Intrinsic Base Fluorescence
- RC1 GM091081 - Sub-Wavelength Imaging of Intracellular Metal Ions

Other awards:

- M01 RR000051 - ACTG A5116:2 Regimen Trial for HIV Subjects
- M01 RR000080 - PAMIDRONATE IN CHILDREN WITH MODERATE TO SEVERE OSTEOGENESIS IMPERFECTA
- P30 AI054907 - The Role of Sex and HCV Co-Infection in HIV Therapy
- R01 AI065786 - Stable Carbohydrate Libraries in Infectious Disease
- R01 GM038060 - Sequencing the Polysaccharide Component of Proteoglycans
- R21 EB009509 - Measurement of CCR5 and CCL3L1 on Single Cell by Fluorescent Metal Nanoparticle
- R25 CA160078 - Training Program in Pediatric Cancer Epidemiology and

Save Cancel

Searches in My NCBI



NCBI Resources How To

PMC

US National Library of Medicine
National Institutes of Health

[Save search](#) [Journal List](#) [Limits](#) [Advanced](#)

[Display Settings:](#) Summary, 20 per page, Sorted by Default order

[Send to:](#)

★ Did you mean: [cobra](#) (6842 items)

Results: 1 to 20 of 1401

<< First < Prev Page of 71 Next > Last >>

[A Bayesian Approach for Decision Making on the Identification of Genes with Different Expression Levels: An Application to](#)

1. [Escherichia coli Bacterium Data](#)

Erlandson F. Saraiva, Francisco Louzada, Luís A. Milan, Silvana Meira, Juliana **Cobre**

Comput Math Methods Med. 2012; 2012: 953086. Published online 2012 March 5. doi: 10.1155/2012/953086

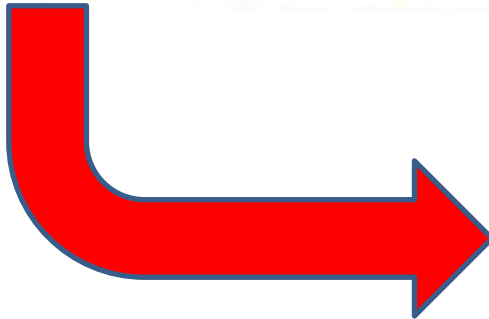

PMCID: PMC3306789

Saving Your Search

Saved Searches

| Search Name | What's New | Last Searched |
|-------------------------|------------|---------------|
| PubMed Searches | | |
| peptide | 0 | today |

[Manage Saved Searches >](#)



NCBI Resources [v] How To [v]

My NCBI — Saved Search Settings

Save Search successful.

Your PubMed search

Search: peptide

Name of Search:

E-mail:

Would you like e-mail updates of new search results?

No thanks.

Yes, once a month.
Which day?

Yes, once a week.
Which day?

Yes, every day.

Formats:

Report format:

Number of items:

Send at most: Send even when there aren't any new results

Any text you want to be added at the top of your e-mail (optional):

Delegation

NCBI Resources How To

My NCBI — My Bibliography eRA

This bibliography is private ([make it public](#)) | [Edit settings](#) for My Bibliography | Save My Bibliography to a [text file \(MEDLINE format\)](#)

Display Settings: List view, Sort by date, group by citation type

Select: All, None 0 items selected Move Delete Copy View Suggest Add citation

Journal Articles

1: Perez K, Walsh R, Brilliant K, Noble L, Yakerivich E, Breese V, Jackson C, Chatterjee D, Pricolo V, Roth L, Shah N, Cataldo T, Safran H, Hixson D, Quesenberry P. [Heterogeneity of Colorectal Cancer \(CRC\) in reference to KRAS proto-oncogene utilizing WAVE technology](#). Exp Mol Pathol. 2013 Mar 22. doi:pii: S0014-4800(13)00014-2. 10.1016/j.yexmp.2013.01.004. [Epub ahead of print] PubMed PMID: 23528430.

[Related citations](#)



Delegates

| My NCBI User Name | E-mail | Remove |
|---|--------|--------|
| You are the only one who can add and remove items from your bibliography. To give someone else that ability, click the Add a Delegate link below. | | |
| Add a Delegate | | |

OR

Delegates

| My NCBI User Name | E-mail | Remove |
|-------------------|--|--------------------------|
| jojo69 | bart@leauboy.com | <input type="checkbox"/> |
| prad178 | pradn@pc@ncbi.nlm.nih.gov | <input type="checkbox"/> |

[Add a Delegate](#)

Save Cancel



How My NCBI Reduces PI Workload

- **Automated and Collaborative Methods to Track Publications**
 - Import citations directly from PubMed
 - Automated matches of manuscript citations to PubMed records
 - NIHMS paper-grant suggestions
 - Recommendations from other authors
 - Paper- grant associations by other authors
- **Year round management**
- **Live Public Access compliance status for every record**
- **Delegation**

Questions?

- NIH Public Access Policy:
<http://publicaccess.nih.gov/>
- My NCBI Help:
<http://www.ncbi.nlm.nih.gov/books/NBK3833/>

Alicia Reed

amreed@ku.edu or 864-7771